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PATENT, TRADEMARK, COPYRIGHT
AND UNFAIR COMPETITION LAW
AND RELATED LITIGATION

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TRUMAN A. HERRON 1835-1976
EDWARD B. EVANS 1936-1971

September 28, 2004

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FACSIMILE COVER SHEET

To: Examiner Cam-Y Truong
Mail Stop AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22213-1450

From: Scott A. Stinebruner
Reg. No. 38,323

Re: U.S. Patent Application
Serial No. 09/356,241
(Appeal No. 2003-0706)
Filed: July 16, 1999
Applicant: Cary Lee Bates et al.
Art Unit: 2172
Confirmation No.: 7560
Our Ref: IBM/96

Fax: 703-872-9306

Enclosures:

Fax Cover Sheet containing Certificate of
Facsimile Transmission (1 page)
Transmittal containing Certificate of
Facsimile Transmission (2 pages)
Amendment and Response (17 pages)

Pages: 20 (including cover sheet)

BOARD OF PATENT APPEALS
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CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence and the enclosures noted herein (20 total pages, including cover sheet) are being transmitted via facsimile transmission to Examiner Cam-Y Truong, Mail Stop AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 at 703-872-9306 on September 28, 2004.

Judith L. Volk
Judith L. Volk

September 28, 2004
Date

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PATENT
 Att'y Docket No. IBM/96/124
 Confirmation No. 7560

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Judith L. Volk
 Judith L. Volk

September 28, 2004
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Cary Lee Bates et al. Art Unit: 2172
 Serial No.: 09/356,241 (Appeal No. 2003-0706) Examiner: Cam-Y Truong
 Filed : July 16, 1999
 For : ORDERING OF DATABASE SEARCH RESULTS BASED ON USER
 FEEDBACK

Mail Stop AMENDMENT
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

AMENDMENT TRANSMITTAL

1. ☒ Transmitted herewith is an Amendment and Response.
2. ☐ Small Entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.
☐ Enclosed is a verified statement to establish Small Entity status
☒ Other than a Small Entity

3. The fee has been calculated as shown below:

CALCULATION OF FEES

Fee:	Number of Claims After Amendment:		Previously Paid For:	No. Extra:	At Rate:	Amount:
Total Claims	44	minus	47	0	\$18	\$0.00
Independent Claims	12	minus	12	0	\$86	\$0.00
MULTIPLE DEPENDENT CLAIM FEE					\$290	\$0.00
TOTAL FEE FOR CLAIMS:						\$0.00

☒ No additional fee for claims is required.

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Page 1 of 2
 Serial No. 09/356,241 (Appeal No. 2003-0706)
 Transmittal for Amendment of September 28, 2004
 IBM Docket: RO999063
 WH&E IBM/96

4. ☐ Attached is a check in the sum of \$_____ for additional claims.
☐ Please charge my Deposit Account No. 23-3000 in the amount of \$_____.

5. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply. Complete (a) or (b) as applicable.

- ☐ (a) Applicant petitions for an extension of time under 37 CFR 1.136 for the total number of months checked below:

	<u>Ext. Mos.</u>	<u>Large entity</u>	<u>Small entity</u>
<input type="checkbox"/>	one month	\$ 110.00	\$ 55.00
<input type="checkbox"/>	two months	\$ 420.00	\$ 210.00
<input type="checkbox"/>	three months	\$ 950.00	\$ 475.00
<input type="checkbox"/>	four months	\$1,480.00	\$ 740.00
<input type="checkbox"/>	five months	\$2,010.00	\$1,005.00

Extension fee due with this request:

\$ _____

Method of Payment:

Check enclosed in the amount of \$ _____

If an additional extension of time is required, please consider this a petition therefor.

(Check and complete the next item, if applicable)

- ☐ An extension for _____ months has already been secured and the fee paid thereof of \$_____ is deducted from the total fee due for the total months of extension now requested. Extension fee due with this request \$_____.

OR

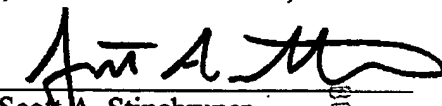
- ☒ (b) Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

6. ☒ If any additional fee for claims or extension of time is required, charge Account No. 23-3000.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

By:


 Scott A. Stinebruner
 Reg. No. 38,323

2700 Carew Tower
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 Facsimile: (513) 241-6234

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Confirmation No. 7560

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Serial No.: 09/356,241 (Appeal No. 2003-0706) Examiner: Cam-Y Truong
Filed: July 16, 1999 Atty. Docket No.: IBM/96
For: ORDERING OF DATABASE SEARCH RESULTS BASED ON USER
FEEDBACK

AMENDMENT AND RESPONSE

Mail Stop AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In reply to the Decision of the Board of Patent Appeals and Interferences mailed
August 31, 2004, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on
page 2 of this paper.

Remarks begin on page 17 of this paper.

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Serial No. 09/356,241 (Appeal No. 2003-0706)
Amendment and Response dated September 28, 2004
Reply to Decision of Board of Appeals of August 31, 2004
IBM Docket: RO999063
WH&E IBM/96
K:\ibm\96\Amendment After Decision.wpd